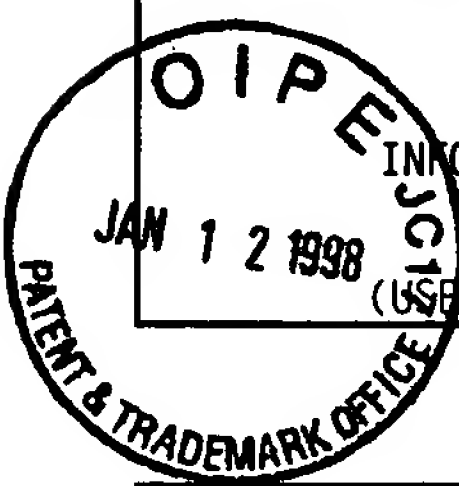


#2



FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. MNFRAME.041A	APPLICATION NO. 08/942,384
	APPLICANT Liu et al.	
	FILING DATE October 1, 1997	GROUP <del>Unknown</del> 2285

INFORMATION DISCLOSURE STATEMENT  
BY APPLICANT  
(USE SEVERAL SHEETS IF NECESSARY)

U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
LW		5.210.855	05/11/93	Bartol	—	—	
LW		5.471.617	11/28/95	Farrand et al.	—	—	
LW		5.579.491	11/26/96	Jeffries et al.	—	—	
LW		5.621.892	04/15/97	Cook	—	—	

FOREIGN PATENT DOCUMENTS								
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

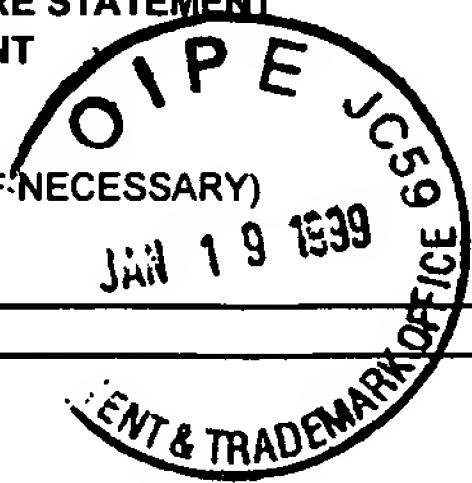
EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)	

EXAMINER <i>Ly V. Hua</i>	DATE CONSIDERED <i>9/12/99</i>
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\*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

#6

FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. MNFRAME.041A	APPLICATION NO. 08/942,384
INFORMATION DISCLOSURE STATEMENT BY APPLICANT  (USE SEVERAL SHEETS IF NECESSARY)		APPLICANT LIU, ET AL.	
		FILING DATE October 1, 1997	GROUP 2785



U.S. PATENT DOCUMENTS

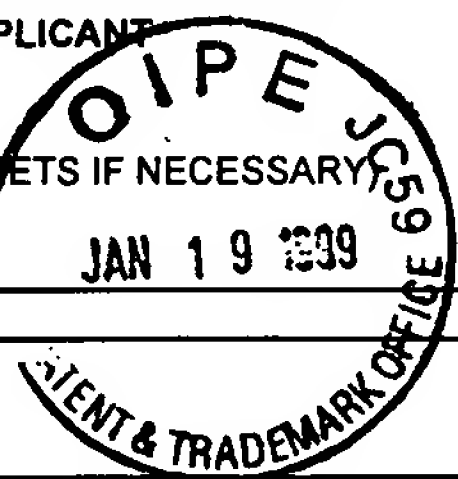
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
WCH	X	4,449,182	05/15/84	Rubinson et al.			
	/	4,835,737	05/30/89	Herrig et al.			
	/	4,949,245	08/14/90	Martin et al.			
	/	4,999,787	03/12/91	McNally et al.			
	/	5,210,855	05/11/93	Bartol			
	/	5,269,011	12/07/93	Yanai et al.			
	/	5,272,584	12/21/93	Austruy et al.			
	/	5,317,693	05/31/94	Cuenod et al.			
	/	5,329,625	07/12/94	Kannan et al.			
	/	5,337,413	08/09/94	Lui et al.			
	/	5,386,567	01/31/95	Lien et al.			
	/	5,483,419	01/09/96	Kaczeus, Sr. et al.			
	/	5,493,574	02/20/96	McKinley			
	/	5,493,666	02/20/96	Fitch			
	/	5,517,646	05/14/96	Piccirillo et al.			
	/	5,555,510	09/10/96	Verseput et al.			
	/	5,564,024	10/08/96	Pemberton			
	/	5,568,610	10/22/96	Brown			
	/	5,579,491	11/26/96	Jeffries et al.			
	/	5,581,712	12/03/96	Herrman			
	/	5,588,144	12/24/96	Inoue et al.			
	/	5,606,672	02/25/97	Wade			
	/	5,608,876	03/04/97	Cohen et al.			
	/	5,615,207	03/25/97	Gephardt et al.			
	/	5,632,021	05/20/97	Jennings et al.			
	/	5,638,289	06/10/97	Yamada et al.			
	/	5,644,470	07/01/97	Benedict et al.			
	/	5,644,731	07/01/97	Liencres et al.			

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EXAMINER <i>Ly V. Hua</i>	DATE CONSIDERED <i>9/20/99</i>
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#6

FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. MNFRAME.041A	APPLICATION NO. 08/942,384
INFORMATION DISCLOSURE STATEMENT BY APPLICANT  (USE SEVERAL SHEETS IF NECESSARY)		APPLICANT LIU, ET AL.	
		FILING DATE October 1, 1997	GROUP 2785



## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
Lu H	5,651,006	07/22/97	Fujino et al.			
	5,652,832	07/29/97	Kane et al.			
	5,664,119	09/02/97	Jeffries et al.			
	5,680,288	10/21/97	Carey et al.			
	5,696,970	12/09/97	Sandage et al.			
	5,726,506	03/10/98	Wood			
	5,740,378	04/14/98	Rehl et al.			
	5,747,889	05/05/98	Raynham et al.			
	5,748,426	05/05/98	Bedingfield et al.			
	5,754,797	05/19/98	Takahashi			
	5,761,033	06/02/98	Wilhelm			
	5,761,045	06/02/98	Olson et al.			
	5,764,968	06/09/98	Ninomiya			
	5,765,198	06/09/98	McCrocklin et al.			
	5,768,541	06/16/98	Pan-Ratzlaff			
	5,768,542	06/16/98	Enstrom et al.			
	5,781,767	07/14/98	Inoue et al.			
	5,781,798	07/14/98	Beatty et al.			
	5,784,576	07/21/98	Guthrie et al.			
	5,790,831	08/04/98	Lin et al.			
	5,793,987	08/11/98	Quackenbush et al.			
	5,794,035	08/11/98	Golub et al.			
	5,796,185	08/18/98	Takata et al.			
	5,796,981	08/18/98	Abudayyeh et al.			
	5,798,828	08/25/98	Thomas et al.			
	5,799,036	08/25/98	Staples			
	5,802,269	09/01/98	Poisner et al.			
	5,802,393	09/01/98	Begun, et al.			

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## U.S. PATENT DOCUMENTS

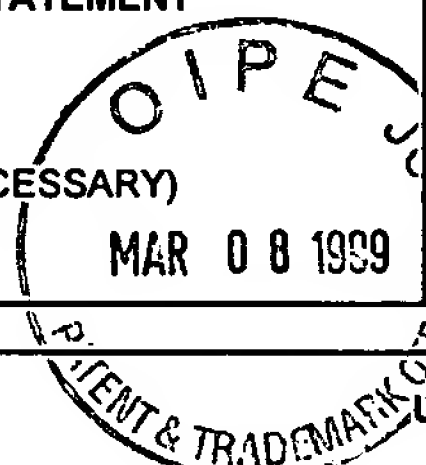
**Group 2700**

EXAMINER <i>Ly V. Hua</i>	DATE CONSIDERED <i>9/20/99</i>
<p>*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.</p>	

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.  
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Liu, et al.

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October 1, 1997GROUP  
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Group 2700

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
<i>Lu</i>	A	4,707,803	11/17/87	Anthony, Jr. et al.			
	B	5,006,961	04/09/91	Monico			
	C	5,307,354	04/26/94	Cramer et al.			
	D	5,351,276	09/27/94	Doll, Jr. et al.			
	E	5,404,494	04/04/95	Garney			
	F	5,440,748	08/08/95	Sekine et al.			
	G	5,487,148	01/23/96	Komori et al.			
	H	5,491,791	02/13/96	Glowny et al.			
	I	5,559,965	09/24/96	Oztaskin et al.			
	J	5,568,619	10/22/96	Blackledge et al.			
	K	5,577,205	11/19/96	Hwang et al.			
	L	5,581,714	12/03/96	Amini et al.			
	M	5,655,081	08/05/97	Bonnell et al.			
	N	5,655,148	08/05/97	Richman et al.			
	O	5,659,682	08/19/97	Devarakonda et al.			
	P	5,666,538	09/09/97	DeNicola			
	Q	5,675,723	10/07/97	Ekrot et al.			
	R	5,684,671	11/04/97	Hobbs et al.			
	S	5,689,637	11/18/97	Johnson et al.			
	T	5,696,899	12/09/97	Kalwitz			
	U	5,724,529	03/03/98	Smith et al.			
	V	5,761,462	06/02/98	Neal et al.			
	W	5,765,008	06/09/98	Desai et al.			
	X	5,774,741	06/30/98	Choi			
	Y	5,781,703	07/14/98	Desai et al.			
	Z	5,801,921	09/01/98	Miller			
	A1	5,812,750	09/22/98	Dev et al.			
	(B1)	5,815,652	09/29/98	Ote et al.			

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PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.  
MNFRAME.041AAPPLICATION NO.  
08/942,384INFORMATION DISCLOSURE STATEMENT  
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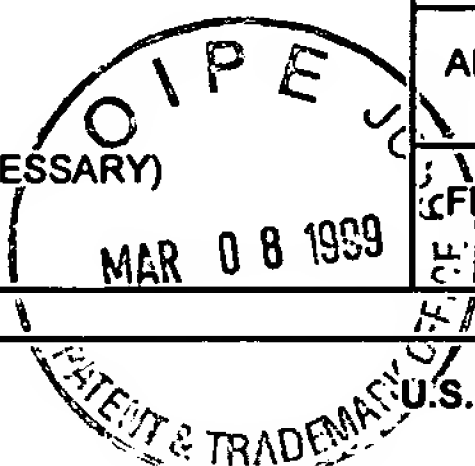
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## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
Rob	C1	5,835,738	11/10/98	Blackledge, Jr. et al.			
	D1	5,841,991	11/24/98	Russell			
	E1	5,852,720	12/22/98	Gready et al.			
	F1	5,857,074	01/05/99	Johnson			

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	G1	EP 0 866 403 A1	09/23/98	European Patent Office				

EXAMINER

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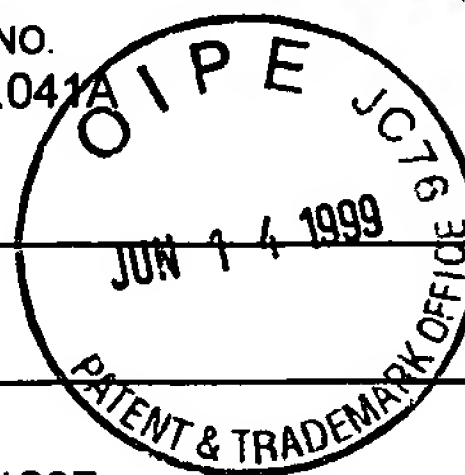
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## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
lvh	(A)	4,057,847	11/08/77	Lowell et al.	364	200	
✓	B	4,672,535	06/09/87	Katzman et al.	364	200	
✓	C	4,769,764	09/06/88	Levanon	364	708	
✓	D	5,051,720	09/24/91	Kittirutsunetorn	340	310 R	
✓	E	5,157,663	10/20/92	Major et al.	371	9.1	
✓	F	5,253,348	10/12/93	Scalise	395	325	
✓	G	5,266,838	11/30/93	Gerner	307	19	
✓	H	5,432,946	07/11/95	Allard et al.	395	750	
✓	I	5,473,499	12/05/95	Weir	361	58	
✓	J	5,526,289	06/11/96	Dinh et al.	364	557	
✓	K	5,528,409	06/18/96	Cucci et al.	359	171	
✓	L	5,546,272	08/13/96	Moss et al.	361	687	
✓	(M)	5,559,764	09/24/96	Chen et al.	396	30	
✓	(N)	5,559,958	09/24/96	Farrand et al.	395	183.03	
✓	(O)	5,572,403	11/05/96	Mills	361	695	
✓	(P)	5,598,407	01/28/97	Bud et al.	370	330	
✓	(Q)	5,621,159	04/15/97	Brown et al.	73	9	
✓	R	5,622,221	04/22/97	Genga, Jr. et al.	165	208	
✓	S	5,652,892	07/29/97	Ugajin	395	750	
✓	T	5,671,371	09/23/97	Kondo et al.	395	306	
✓	U	5,737,708	04/07/98	Grob et al.	455	557	
✓	V	5,742,833	04/21/98	Dea et al.	395	750.05	
✓	W	5,752,164	05/12/98	Jones	455	33.1	
✓	X	5,778,197	07/07/98	Dunham	395	284	
✓	Y	5,787,459	07/28/98	Stallmo et al.	711	112	
✓	Z	5,790,775	08/04/98	Marks et al.	395	182.07	
✓	A1	5,796,580	08/18/98	Komatsu et al.	361	687	
✓	B1	5,802,305	09/01/98	McKaughan et al.	395	200.57	

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FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  INFORMATION DISCLOSURE STATEMENT BY APPLICANT  (USE SEVERAL SHEETS IF NECESSARY)	ATTY. DOCKET NO. MNFRAME.041A	APPLICATION NO. 08/942,384
	APPLICANT Liu et al.	RECEIVED JUN 15 1999 Group 2700
	FILING DATE October 1, 1997	

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
<i>Wd</i>	C1	5,802,324	09/01/98	Wunderlich et al.	395	281	
	D1	5,803,357	09/08/98	Lakin	236	78 B	
	E1	5,812,748	09/22/98	Ohran et al.	395	182.02	
	F1	5,821,596	10/13/98	Miu et al.	257	419	
	G1	5,835,719	11/10/98	Gibson et al.	395	200.51	
	H1	5,838,932	11/17/98	Alzien	395	308	
	I1	5,852,724	12/22/98	Glenn, II et al.	395	200.69	
	J1	5,864,653	01/26/99	Tavallaei et al.	315	181	
	K1	5,875,310	02/23/99	Buckland et al.	395	306	
	L1	5,878,238	03/02/99	Gan et al.	395	308	
	M1	5,881,311	03/09/99	Woods	395	824	
	N1						

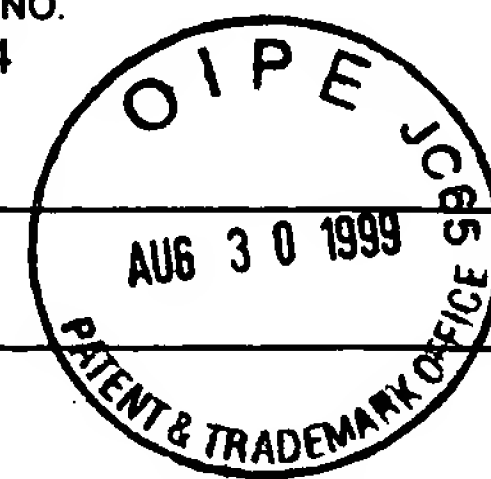
EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)	
<i>Wd</i>	1	Shanley and Anderson, PCI System Architecture, Third Edition, Chapters 15 & 16, pp. 297-328, CR 1995. ✓
<i>Wd</i>	2	PCI Hot-Plug Specification, Preliminary Revision for Review Only, Revision 0.9, pp. i-vi, and 1-25, March 5, 1997. ✓
<i>Wd</i>	3	SES SCSI-3 Enclosure Services, X3T10/Project 1212-D/Rev 8a, pp. i, iii-x, 1-76, and I-1 (index), January 16, 1997. ✓
<i>Wd</i>	4	Compaq Computer Corporation, Technology Brief, pp. 1-13, December 1996, "Where Do I Plug the Cable? Solving the Logical-Physical Slot Numbering Problem."

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EXAMINER	<i>Ly V. Hua</i>	DATE CONSIDERED	<i>9/20/99</i>
*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.			



FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  INFORMATION DISCLOSURE STATEMENT BY APPLICANT  (USE SEVERAL SHEETS IF NECESSARY)	ATTY. DOCKET NO. MNFRAME.041A	APPLICATION NO. 08/942,384
	APPLICANT Liu, et al.	
	FILING DATE October 1, 1997	GROUP 2785



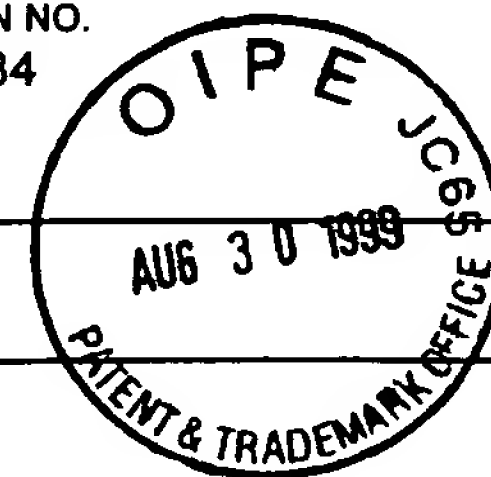
## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
LV	1	4,692,918	09/08/87	Elliott et al.	370	85	
LV	2	5,033,048	07/16/91	Pierce et al.	371	21.2	
LV	3	5,121,500	06/09/92	Arlington et al.	395	750	
LV	4	5,136,708	08/04/92	Lapourtre et al.	395	650	
LV	5	5,138,619	08/11/92	Fasang et al.	371	21.1	
LV	6	5,245,615	09/14/93	Treu	371	16.5	
LV	7	5,276,863	01/04/94	Heider	395	575	
LV	8	5,280,621	01/18/94	Barnes et al.	395	800	
LV	9	5,388,267	02/07/95	Chan et al.	395	700	
LV	10	5,432,715	07/11/95	Shigematsu et al.	364	551.01	
	11	5,438,678	08/01/95	Smith	395	750	
	12	5,463,766	10/31/95	Schieve et al.	395	650	
	13	5,485,550	01/16/96	Dalton	395	51	
	14	5,513,314	04/30/96	Kandasamy et al.	395	182.04	
	15	5,535,326	07/09/96	Baskey et al.	395	182.02	
	16	5,548,712	08/20/96	Larson et al.	395	182.05	
	17	5,566,339	10/15/96	Perholtz et al.	395	750	
	18	5,579,487	11/26/96	Meyerson et al.	395	280	
	19	5,584,030	12/10/96	Husak et al.	395	750	
	20	5,596,711	01/21/97	Burckhardt et al.	395	182.21	
	21	5,625,238	04/29/97	Ady et al.	307	147	
	22	5,627,962	05/06/97	Goodrum et al.	395	182.11	
	23	5,630,076	05/13/97	Saulpaugh et al.	395	284	
	24	5,631,847	05/20/97	Kikinis	364	514 R	
	25	5,652,839	07/29/97	Giorgio et al.	395	200.11	
	26	5,652,908	07/29/97	Douglas et al.	395	800	
	27	5,655,083	08/05/97	Bagley	395	182.31	
	28	5,664,118	09/02/97	Nishigaki et al.	395	283	

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EXAMINER	Ly V. Hua	DATE CONSIDERED	9/20/99
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	APPLICANT Liu, et al.	
	FILING DATE October 1, 1997	GROUP 2785



## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
<i>Lu H</i>	29	5,668,992	09/16/97	Hammer et al.	395	651	
	30	5,669,009	09/16/97	Buktenica et al.	395	800.35	
	31	5,715,456	02/03/98	Bennett et al.	395	652	02/13/95
	32	5,727,207	03/10/98	Gates et al.	395	651	09/07/94
	33	5,732,266	03/24/98	Moore et al.	395	651	09/02/94
	34	5,758,165	05/26/98	Shuff	395	712	07/07/95
	35	5,761,085	06/02/98	Giorgio	364	505	11/12/96
	36	5,777,897	07/07/98	Giorgio	364	557	11/26/96
	37	5,781,744	07/14/98	Johnson et al.	395	283	08/25/95
	38	5,784,555	07/21/98	Stone	395	200.5	04/18/96
	39	5,787,019	07/28/98	Knight et al.	364	550	05/10/96
	40	5,787,491	07/28/98	Merkin et al.	711	173	01/26/96
	41	5,797,023	08/18/98	Berman et al.	395	750.06	07/10/97
	42	5,802,298	09/01/98	Imai et al.	395	200.47	08/26/96
	43	5,802,592	09/01/98	Chess et al.	711	164	05/31/96
	44	5,809,287	09/15/98	Stupek, Jr. et al.	395	500	12/17/96
	45	5,809,311	09/15/98	Jones	395	750.01	06/14/96
	46	5,815,647	09/29/98	Buckland et al.	395	182.01	05/23/97
	47	5,852,724	12/22/98	Glenn, II et al.	395	200.69	06/18/96
	48	5,857,102	01/05/99	McChesney et al.	395	653	03/14/95
	49	5,875,307	02/23/99	Ma et al.	395	281	08/14/97
	50	5,884,027	03/16/99	Garbus et al.	395	200.8	06/05/97
	51	5,889,965	03/30/99	Wallach et al.	395	283	10/01/97
	52	5,892,928	04/06/99	Wallach et al.	395	283	10/01/97
	53	5,898,888	04/27/99	Guthrie et al.	395	308	12/13/96
	54	5,905,867	05/18/99	Giorgio	395	200.54	11/12/96

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*Ly V Huo*

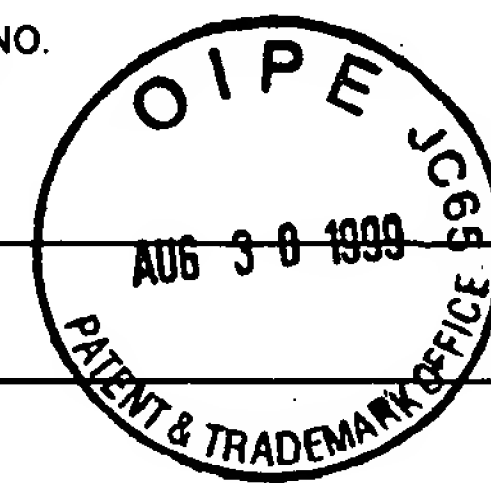
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*9/20/99*

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#9

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  INFORMATION DISCLOSURE STATEMENT BY APPLICANT  (USE SEVERAL SHEETS IF NECESSARY)	ATTY. DOCKET NO. MNFRAME.041A	APPLICATION NO. 08/942,384
	APPLICANT Liu, et al.	
	FILING DATE October 1, 1997	GROUP 2785



EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)	
LKH	55	Davis, T, Usenet post to alt.msdos.programmer, April 1997, "Re: How do I create an FDISK batch file?"
	56	Davis, T., Usenet post to alt.msdos.batch, April 1997, "Re: Need help with automating FDISK and FORMAT . . ."
LKH	57	NetFrame Systems Incorporated, Doc. No. 78-1000226-01, pp. 1-2, 5-8, 359-404, and 471-512, April 1996, "NetFrame Clustered Multiprocessing Software: NW0496 DC-ROM for Novell® NetWare® 4.1 SMP, 4.1, and 3.12."
	58	Shanley, and Anderson, PCI System Architecture, Third Edition, Chapter 15, pp. 297-302, Copyright 1995, "Intro To Configuration Address Space."
LKH	59	Shanley, and Anderson, PCI System Architecture, Third Edition, Chapter 16, pp. 303-328, Copyright 1995, "Configuration Transactions."
	60	Sun Microsystems Computer Company, Part No. 802-5355-10, Rev. A, May 1996, "Solstice SyMON User's Guid."
LKH	61	Sun Microsystems, Part No. 802-6569-11, Release 1.0.1, November 1996, "Remote Systems Diagnostics Installation & User Guide."

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